

# Effective tight binding Hamiltonian for monolayer MoS<sub>2</sub>

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# Outline

A short Introduction to MoS<sub>2</sub>

Tight binding Hamiltonian

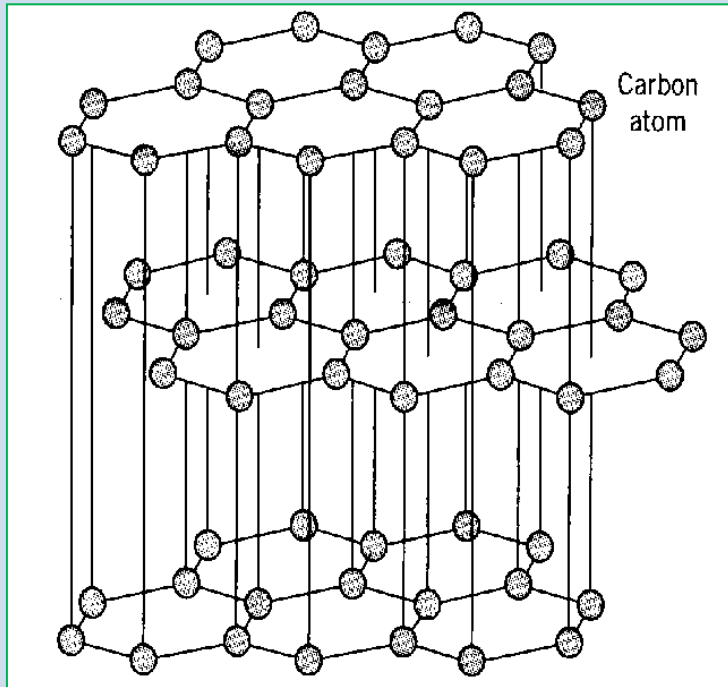
Two band Hamiltonian

Zeeman like for valley

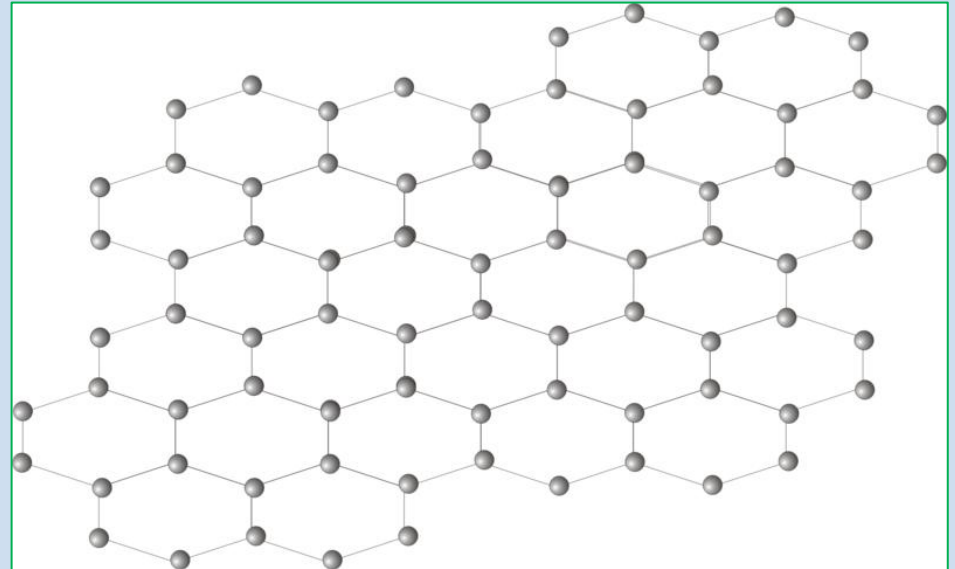
Conclusion

# Layered Compounds: Graphite

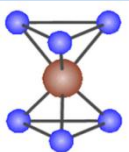
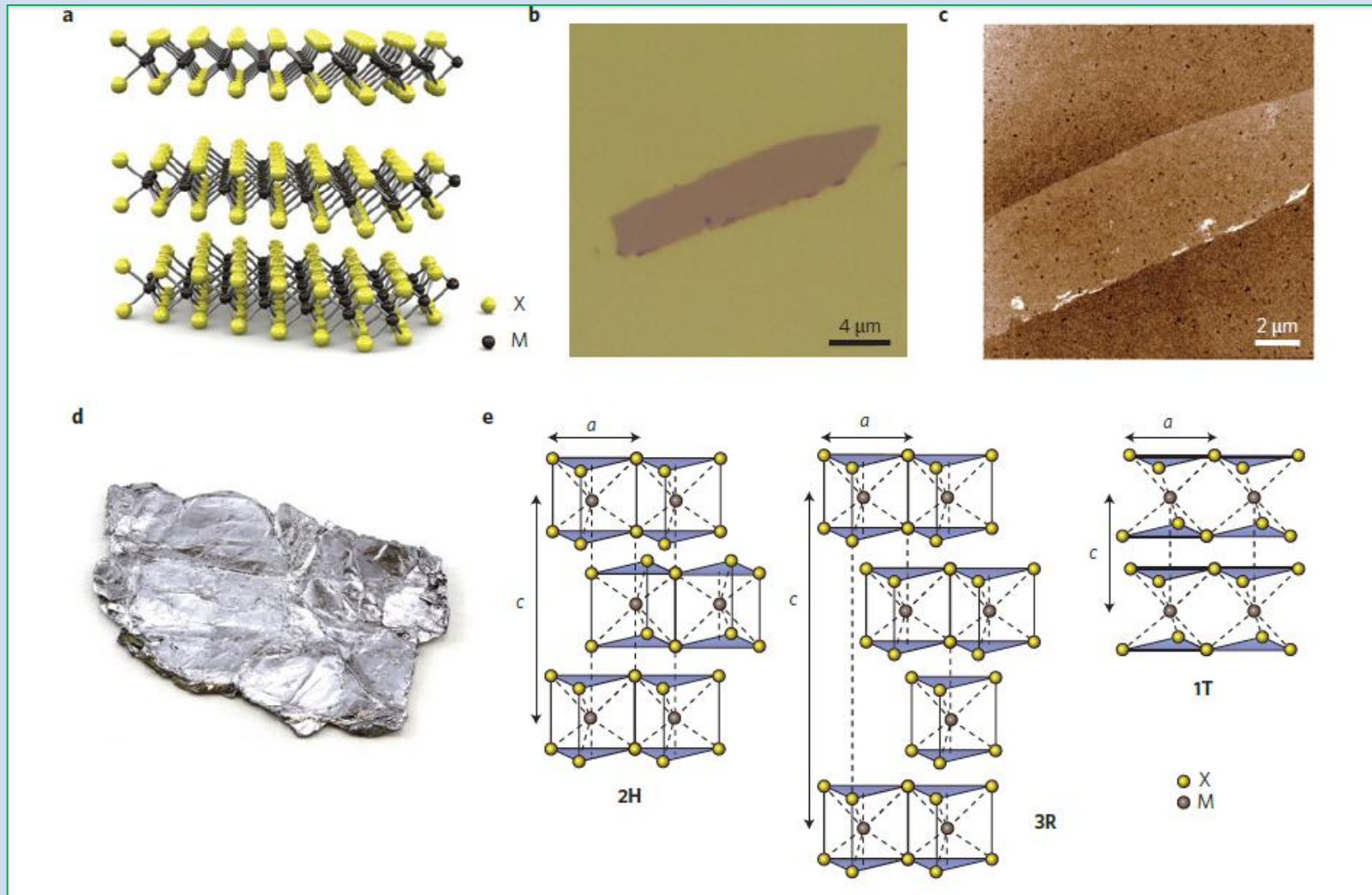
## Graphite



## Graphene



# Layered Compounds: Transition metal dichalcogenides (MX<sub>2</sub>)



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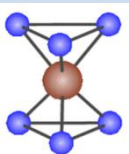
MX <sub>2</sub> M = Transition metal X = Chalcogen																			
H																	He		
Li	Be													B	C	N	O	F	Ne
Na	Mg	3	4	5	6	7	8	9	10	11	12	Al	Si	P	S	Cl	Ar		
K	Ca	Sc	Ti	V	Cr	Mn	Fe	Co	Ni	Cu	Zn	Ga	Ge	As	Se	Br	Kr		
Rb	Sr	Y	Zr	Nb	Mo	Tc	Ru	Rh	Pd	Ag	Cd	In	Sn	Sb	Te	I	Xe		
Cs	Ba	La-Lu	Hf	Ta	W	Re	Os	Ir	Pt	Au	Hg	Tl	Pb	Bi	Po	At	Rn		
Fr	Ra	Ac-Lr	Rf	Db	Sg	Bh	Hs	Mt	Ds	Rg	Cn	Uut	Fl	Uup	Lv	Uus	Uuo		

$HfS_2$ : *Insulator*

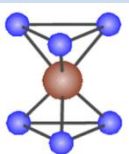
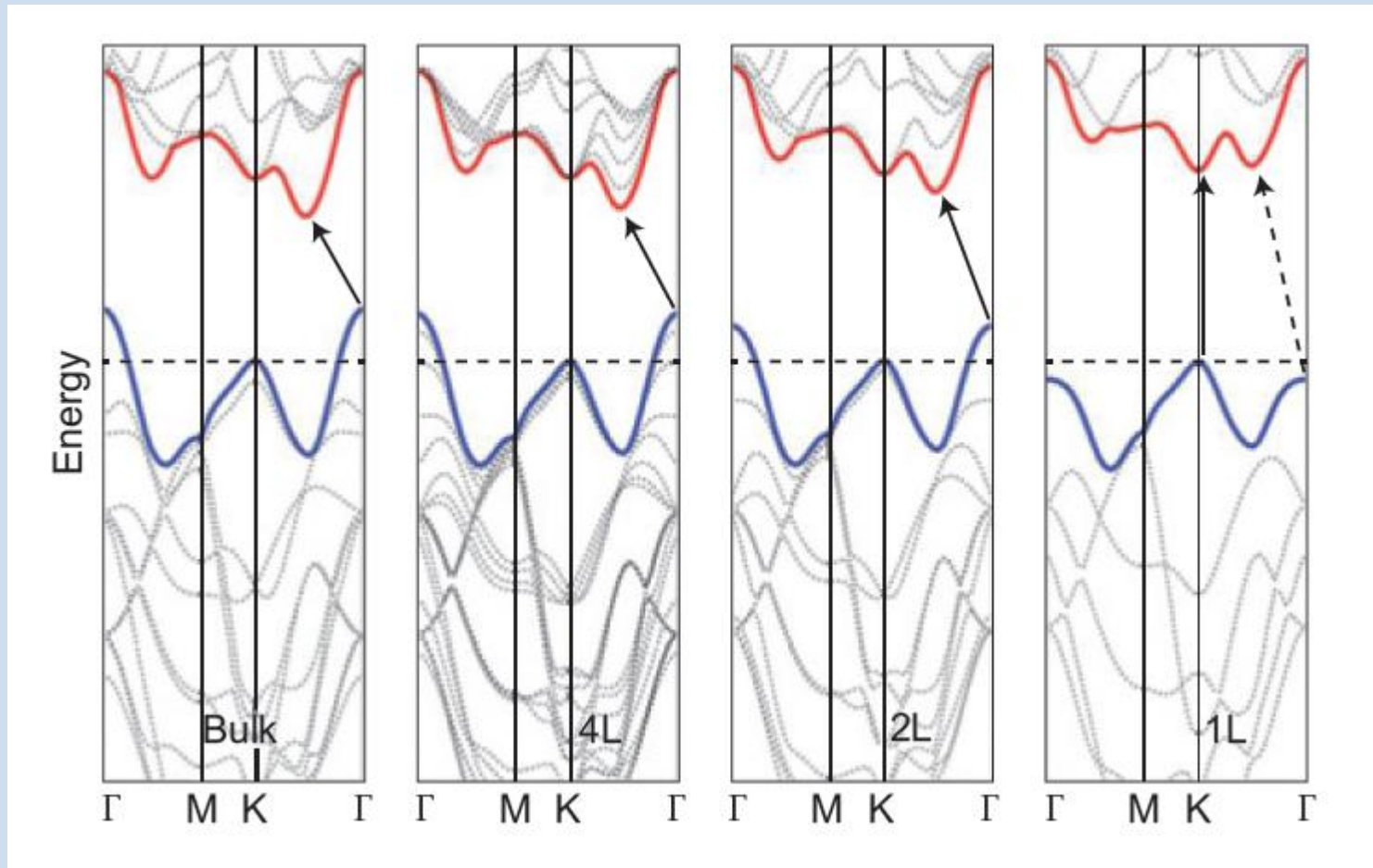
$TiSe_2, WTe_2$ : *Semimetal*

$MoS_2, WS_2$ : *Semiconductor*

$NbS_2, VSe_2$ : *Metal*



# Band Structure: DFT

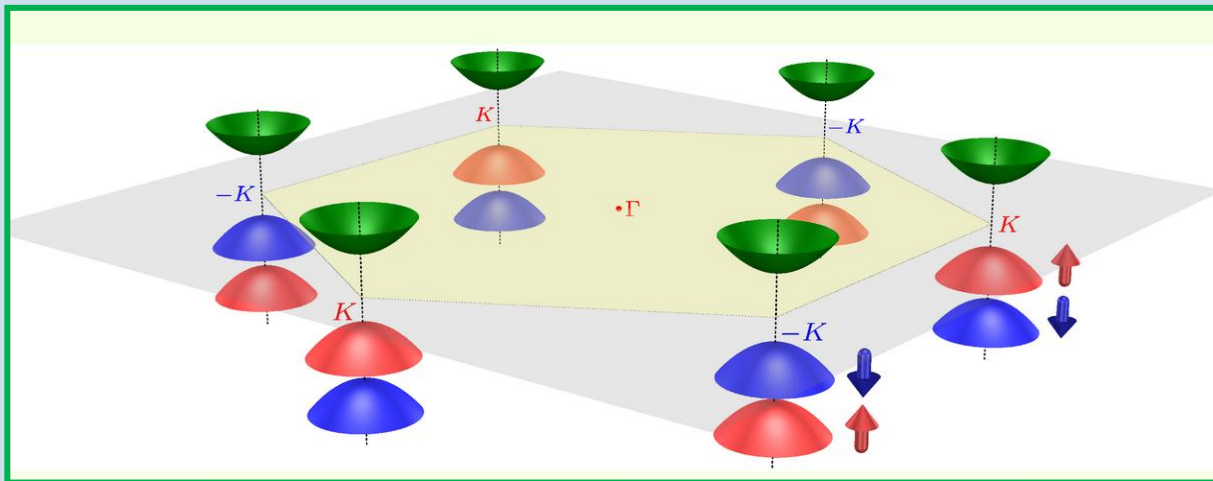


# Xiao's low-energy Hamiltonian

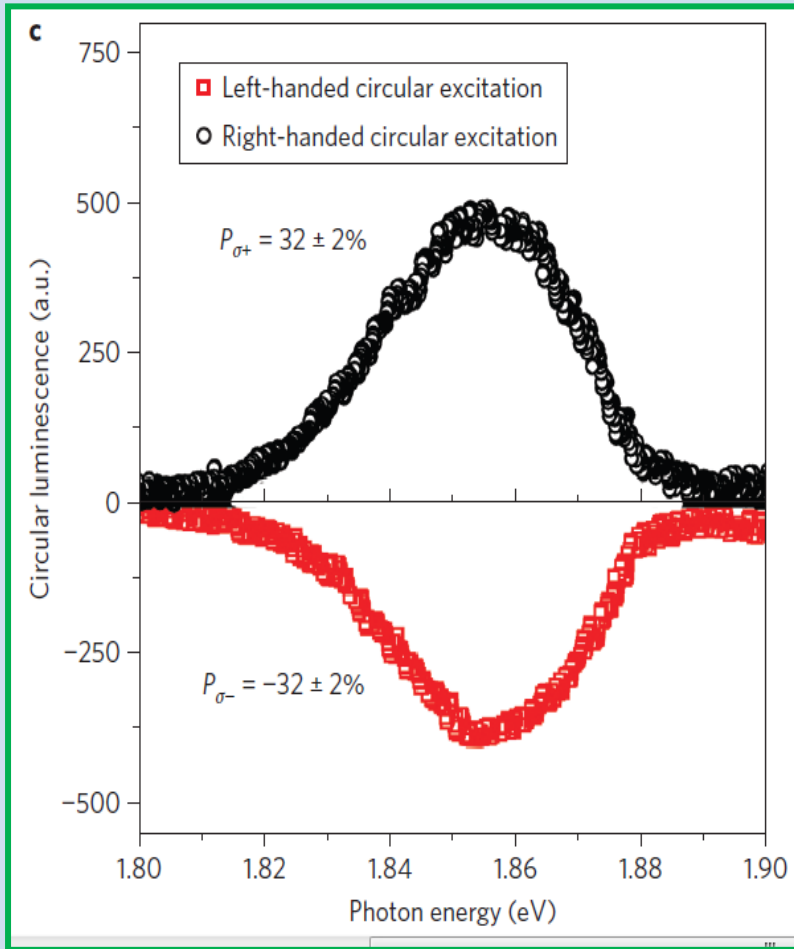
$\vec{K} \cdot \vec{P}$  model

$$|\phi_c\rangle = |d_{z^2}\rangle, \quad |\phi_v^\tau\rangle = \frac{1}{\sqrt{2}}(|d_{x^2-y^2}\rangle + i\tau|d_{xy}\rangle),$$

$$\hat{H} = at(\tau k_x \hat{\sigma}_x + k_y \hat{\sigma}_y) + \frac{\Delta}{2} \hat{\sigma}_z - \lambda\tau \frac{\hat{\sigma}_z - 1}{2} \hat{s}_z,$$



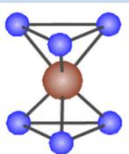
# Circular dichroism



$$\mathcal{P}_\alpha(\mathbf{k}) \equiv m_0 \langle u_c(\mathbf{k}) | \frac{1}{\hbar} \frac{\partial \hat{H}}{\partial k_\alpha} | u_v(\mathbf{k}) \rangle$$

$$|\mathcal{P}_\pm(\mathbf{k})|^2 = \frac{m_0^2 a^2 t^2}{\hbar^2} \left( 1 \pm \tau \frac{\Delta'}{\sqrt{\Delta'^2 + 4a^2 t^2 k^2}} \right)^2$$

$$P = \frac{|\mathcal{P}_+|^2 - |\mathcal{P}_-|^2}{|\mathcal{P}_+|^2 + |\mathcal{P}_-|^2}$$



Zeng et al, Nature NanoTech. 7, 409 (2012)

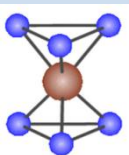
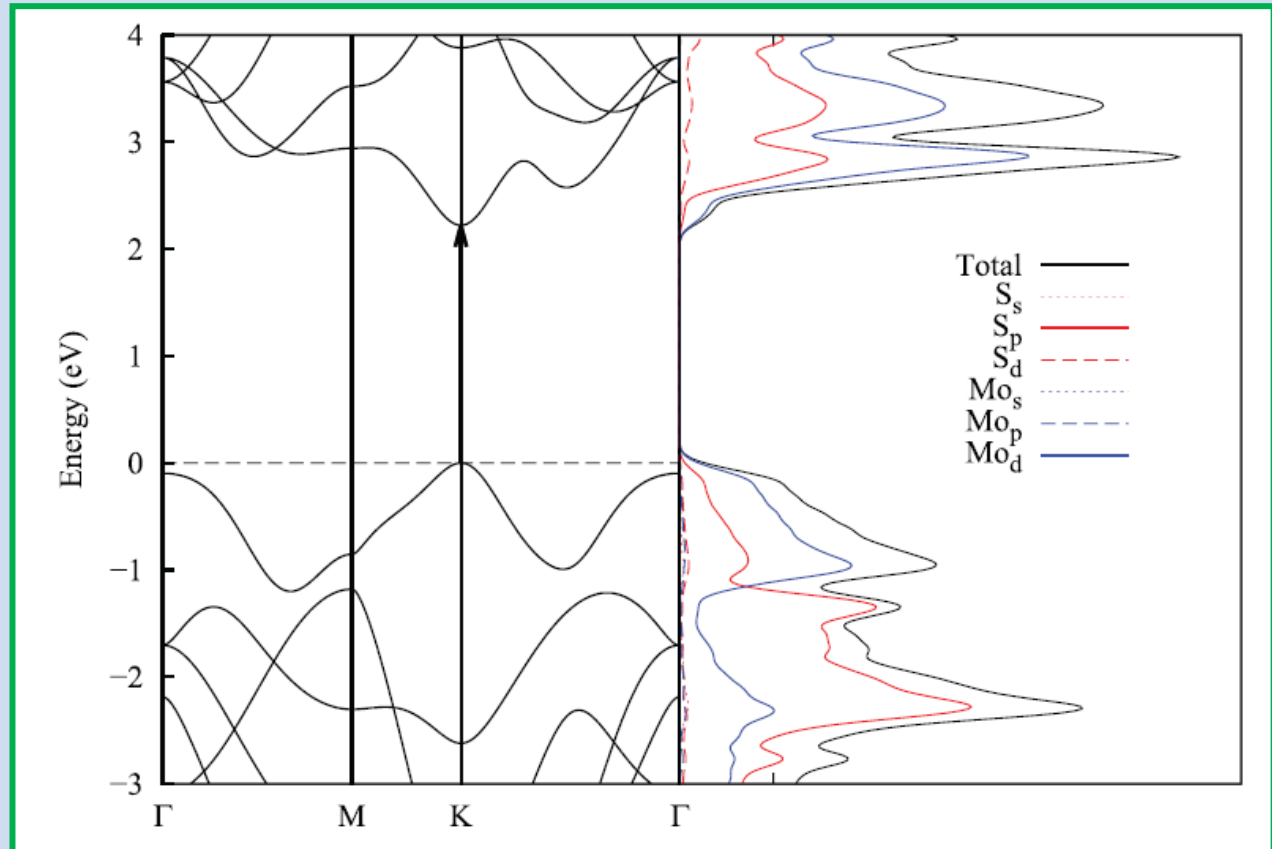
Di Xiao, et al, Phys. Rev. Lett. 108,196802 (2012)



# Relevant atomic orbitals

*Mo*:  $[Kr]5s^14d^5$

*S*:  $[Ne]3s^23p^4$



# **Tight binding Hamiltonian**

# Symmetry adopted basis orbitals

$$|1\rangle = |d_{z^2}\rangle \quad |2\rangle = \frac{1}{\sqrt{2}}(|d_{x^2+y^2}\rangle + i|d_{xy}\rangle) \quad |3\rangle = \frac{1}{\sqrt{2}}(|d_{x^2+y^2}\rangle - i|d_{xy}\rangle)$$

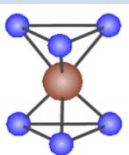
$$|d_{xz}\rangle$$

$$|p_z\rangle$$

$$|d_{yz}\rangle$$

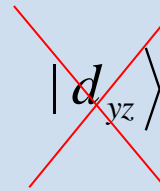
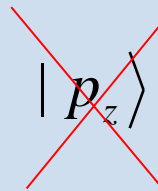
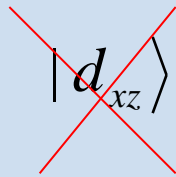
$$|1'\rangle = \frac{1}{\sqrt{2}}(|p_x\rangle + i|p_y\rangle)$$

$$|2'\rangle = \frac{1}{\sqrt{2}}(|p_x\rangle - i|p_y\rangle)$$



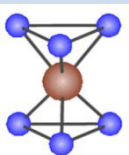
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$$|1\rangle = |d_{z^2}\rangle \quad |2\rangle = \frac{1}{\sqrt{2}} (|d_{x^2+y^2}\rangle + i|d_{xy}\rangle) \quad |3\rangle = \frac{1}{\sqrt{2}} (|d_{x^2+y^2}\rangle - i|d_{xy}\rangle)$$



$$|1'\rangle = \frac{1}{\sqrt{2}} (|p_x\rangle + i|p_y\rangle)$$

$$|2'\rangle = \frac{1}{\sqrt{2}} (|p_x\rangle - i|p_y\rangle)$$



# Tight binding Hamiltonian

$$\hat{H}_{TB} = \sum_{i\mu\nu} \{ \epsilon_{\mu\nu}^a a_{i\mu}^\dagger a_{i\nu} + \epsilon_{\mu\nu}^b b_{i\mu}^\dagger b_{i\nu} + \epsilon_{\mu\nu}^{b'} b'_{i\mu}^\dagger b'_{i\nu} \} \\ + \sum_{\langle ij \rangle, \mu\nu} t_{ij, \mu\nu} a_{i\mu}^\dagger (b_{i\nu} + b'_{i\nu}) + H.c.,$$

$$\hat{S} = \sum_{i\mu\nu} \sum_{\langle ij \rangle, \mu\nu} s_{ij, \mu\nu} a_{i\mu}^\dagger (b_{i\nu} + b'_{i\nu}) + H.c.,$$

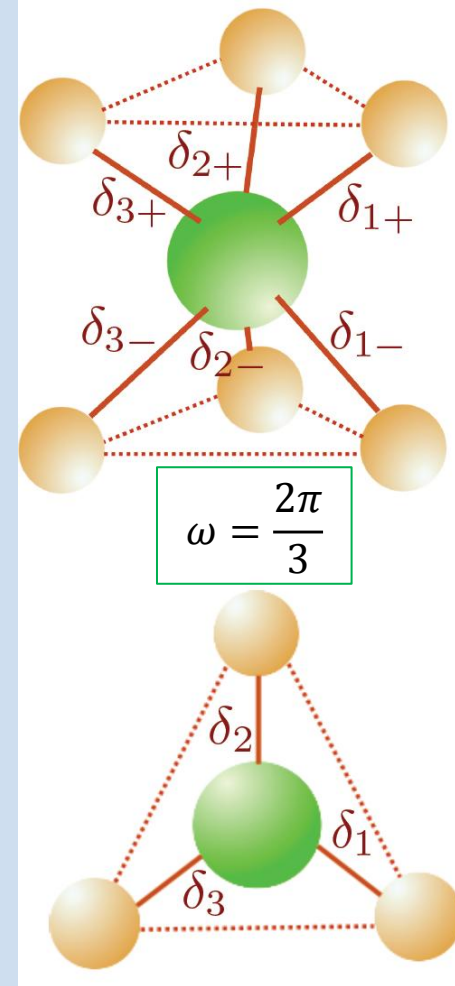
$a$  : Mo  
 $b, b'$  : S

$$\epsilon_{\mu\nu}^a = \langle a, i, \mu | H | a, i, \nu \rangle \\ \epsilon_{\mu\nu}^b = \langle b, i, \mu | H | b, i, \nu \rangle \\ \epsilon_{\mu\nu}^{b'} = \langle b', i, \mu | H | b', i, \nu \rangle \\ t_{ij, \mu\nu} = \langle a, i, \mu | H | b^{(\prime)}, j, \nu \rangle \\ s_{ij, \mu\nu} = \langle a, i, \mu | b(b'), j, \nu \rangle$$

$$R_3 = e^{-i\frac{\omega L_z}{\hbar}}$$

$$\sigma_v : x \rightarrow -x \\ y, z \rightarrow y, z$$

$$\sigma_h : z \rightarrow -z \\ y, x \rightarrow y, x$$



# Imposing symmetry constraints

## Symmetries + SO coupling:

$$H = R_3^\dagger H R_3 \quad , \quad H = \sigma_v^{-1} H \sigma_v \quad , \quad H = \sigma_h^{-1} H \sigma_h$$

$$\langle 2 | S.L | 2 \rangle \propto s \quad , \quad \langle 3 | S.L | 3 \rangle \propto -s$$

## Results:

$$\epsilon^a = \begin{pmatrix} A_1 & 0 & 0 \\ 0 & A_2 + \lambda s & 0 \\ 0 & 0 & A_2 - \lambda s \end{pmatrix} , \quad \epsilon^b = \epsilon^{b'} = \begin{pmatrix} B & 0 \\ 0 & B \end{pmatrix} ,$$

$$t_{\delta_{1\pm}} = \begin{pmatrix} t_{11} & -e^{-i\omega} t_{11} \\ t_{21} & t_{22} \\ -t_{22} & -e^{i\omega} t_{21} \end{pmatrix} , \quad t_{\delta_{2\pm}} = \begin{pmatrix} e^{i\omega} t_{11} & -e^{i\omega} t_{11} \\ e^{-i\omega} t_{21} & t_{22} \\ -t_{22} & -e^{-i\omega} t_{21} \end{pmatrix} , \quad t_{\delta_{3\pm}} = \begin{pmatrix} e^{-i\omega} t_{11} & -t_{11} \\ e^{i\omega} t_{21} & t_{22} \\ -t_{22} & -t_{21} \end{pmatrix}$$

# k-space Hamiltonian

$$\mathcal{H}|\psi\rangle = E\mathcal{S}|\psi\rangle$$

$$\mathcal{H} = \begin{pmatrix} \hat{H}_a & \hat{H}_t & \hat{H}_t \\ \hat{H}_t^\dagger & \hat{H}_b & 0 \\ \hat{H}_t^\dagger & 0 & \hat{H}_{b'} \end{pmatrix}$$

$$\mathcal{S} = \begin{pmatrix} 1 & \hat{S}_t & \hat{S}_t \\ \hat{S}_t^\dagger & 1 & 0 \\ \hat{S}_t^\dagger & 0 & 1 \end{pmatrix}$$

$$\hat{H}_t = \begin{pmatrix} t_{11}f(\mathbf{k}, \omega) & -e^{-i\omega}t_{11}f(\mathbf{k}, -\omega) \\ t_{21}f(\mathbf{k}, -\omega) & t_{22}f(\mathbf{k}, 0) \\ -t_{22}f(\mathbf{k}, 0) & -e^{i\omega}t_{21}f(\mathbf{k}, \omega) \end{pmatrix}$$

$$\hat{H}_t \xrightarrow{t_{\mu\nu} \rightarrow s_{\mu\nu}} \hat{S}_t$$

$$\hat{H}_a = \epsilon^a + U^a \quad , \quad \hat{H}_{b^{(')}} = \epsilon^{b^{(')}} + U^{b^{(')}}$$

# Empirical Parameters

$$\Delta = 1.9 eV$$

$$\lambda = 80 meV$$

Radisavljevic *et al*, *nat. nanotech*, **6**, 147 (2011)

Mak, *et al*, *Phys. Rev. Lett.* **105**, 136805 (2010)

$$m_e = 0.37 m_0$$

$$m_h = -0.44 m_0$$

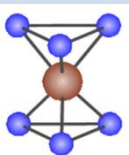
$$E_{VBM} = -5.73 eV$$

Peeraers, Van de Walle, *Phys. Rev. B* **86**, 241401 (2012)

Yunguo Li, *et al*, arXiv: 1211.4052

$$s_{\mu\nu} = 0.1 t_{\mu\nu}/eV$$

10% p-orbital mixing





# Model's Parameters

In the presence of  $\sigma_h$  symmetry, 7-band Hamiltonian will reduce to a 5-band one as:

$$\mathcal{H} = \begin{pmatrix} \hat{H}_a & 2\hat{H}_t \\ 2\hat{H}_t^\dagger & 2\hat{H}_b \end{pmatrix}, \quad \mathcal{S} = \begin{pmatrix} 1 & 2\hat{S} \\ 2\hat{S}^\dagger & 2 \end{pmatrix}$$

By fitting the eigenvalue of above Hamiltonian with low energy Dispersion, one can find:

$$A_1 = -1.45 eV$$

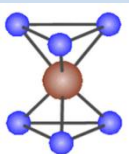
$$A_2 = -5.8 eV$$

$$B = 5.53 eV$$

$$e^{i\pi/6} t_{11} = 0.82 eV$$

$$e^{-i\pi/6} t_{21} = -1 eV$$

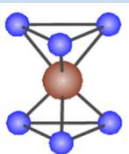
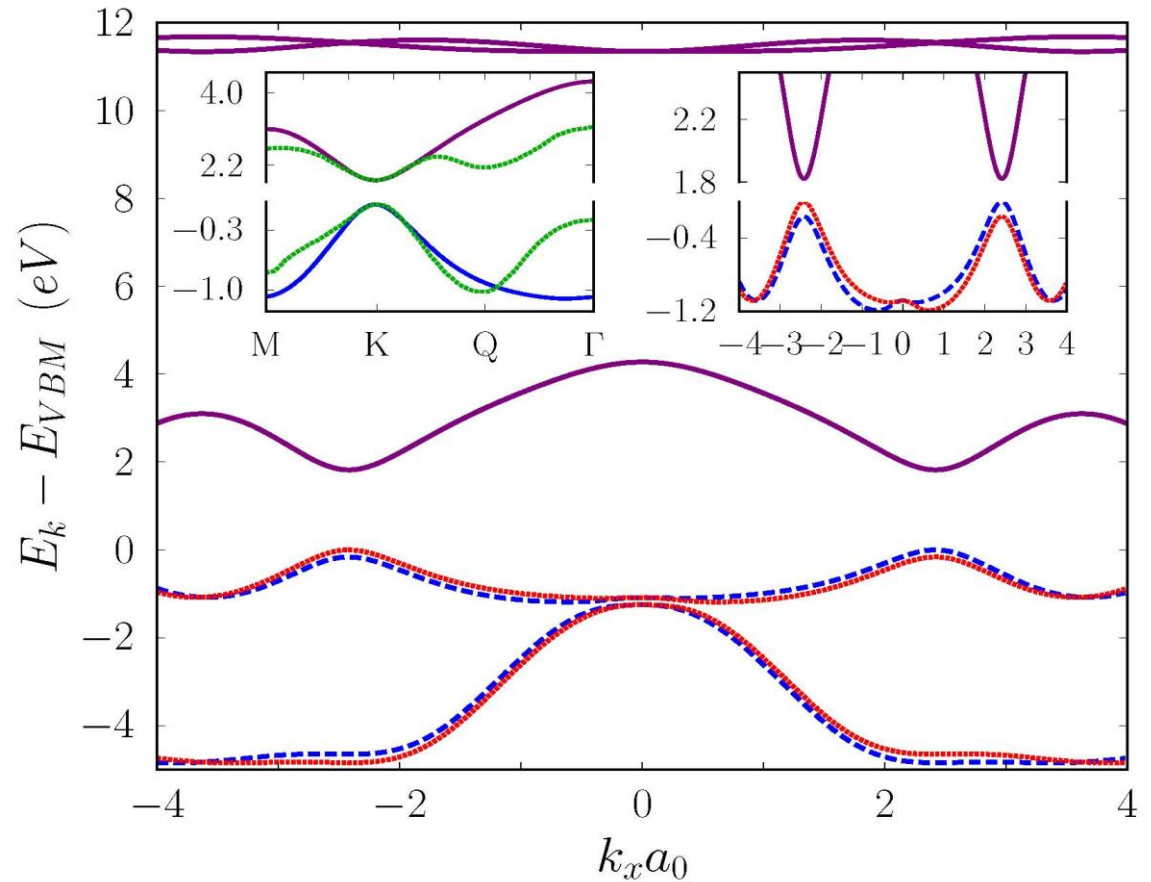
$$e^{-i\pi/2} t_{22} = 0.51 eV$$



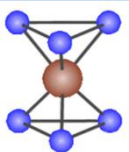
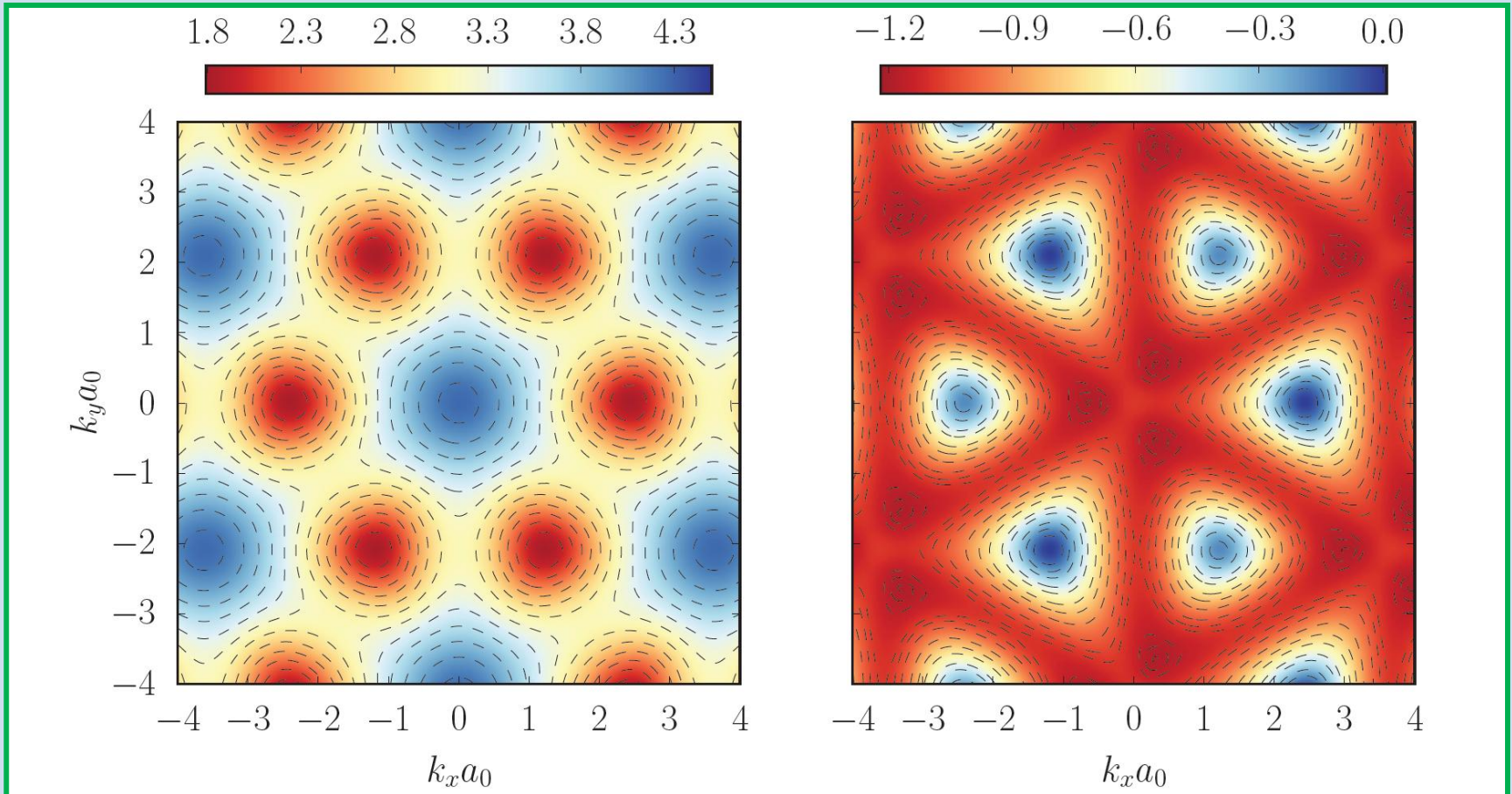
# Energy dispersion relation

$$\mathcal{H} = \begin{pmatrix} \hat{H}_a & 2\hat{H}_t \\ 2\hat{H}_t^\dagger & 2\hat{H}_b \end{pmatrix}$$

$$\mathcal{S} = \begin{pmatrix} 1 & 2\hat{S} \\ 2\hat{S}^\dagger & 2 \end{pmatrix}$$



# Trigonal Warping(beyond Low energy)



# Two band Hamiltonian

# Low-energy Hamiltonian

0-Expanding the Hamiltonian around the K-point( $k=K+q$ )

$$\mathcal{H} = \mathcal{H}_0 + \mathcal{H}_1$$

1-Löwdin orthogonalization ( $S^{-1/2}$ )

$$\begin{aligned} \tilde{H} &= S^{-1/2} \mathcal{H} S^{-1/2} \quad , \quad |\tilde{\psi}\rangle = S^{1/2} |\psi\rangle \\ \mathcal{H} |\psi\rangle &= E S |\psi\rangle \quad \rightarrow \quad \tilde{H} |\tilde{\psi}\rangle = E |\tilde{\psi}\rangle \end{aligned}$$

2-From orbital-space to band-space( $U_0$ )

$$H' = U_0^\dagger \tilde{H} U_0 = H'_{\text{diag}} + V \quad \text{where} \quad U_0^\dagger S_0^{-1/2} \mathcal{H}_0 S_0^{-1/2} U_0 \equiv \text{diagonal}$$

3-Löwdin partitioning( $e^{-\mathcal{O}}$ )

$$H = e^{-\mathcal{O}} H' e^{\mathcal{O}} = H'_{\text{diag}} + V + [H'_{\text{diag}}, \mathcal{O}] + [V, \mathcal{O}] + \frac{1}{2} [[H'_{\text{diag}}, \mathcal{O}], \mathcal{O}] + \dots$$

$$V + [H'_{\text{diag}}, \mathcal{O}] = 0 \quad \text{restlts:} \quad \mathcal{O}$$

$$H = H'_{\text{diag}} + \frac{1}{2} [V, \mathcal{O}] + \dots$$

# Low-energy Hamiltonian

$$\begin{aligned}
 H_{\tau s} &= \frac{\Delta}{2} \sigma_z + \lambda \tau s \frac{1 - \sigma_z}{2} + t_0 a_0 \mathbf{q} \cdot \sigma_\tau \\
 &+ \frac{\hbar^2 |\mathbf{q}|^2}{4m_0} (\alpha + \beta \sigma_z) \\
 &+ t_1 a_0^2 \mathbf{q} \cdot \sigma_\tau^* \sigma_x \mathbf{q} \cdot \sigma_\tau^*,
 \end{aligned}$$

$$t_0 = 1.68 \text{ eV}$$

$$t_1 = 0.1 \text{ eV}$$

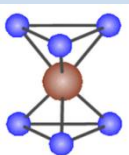
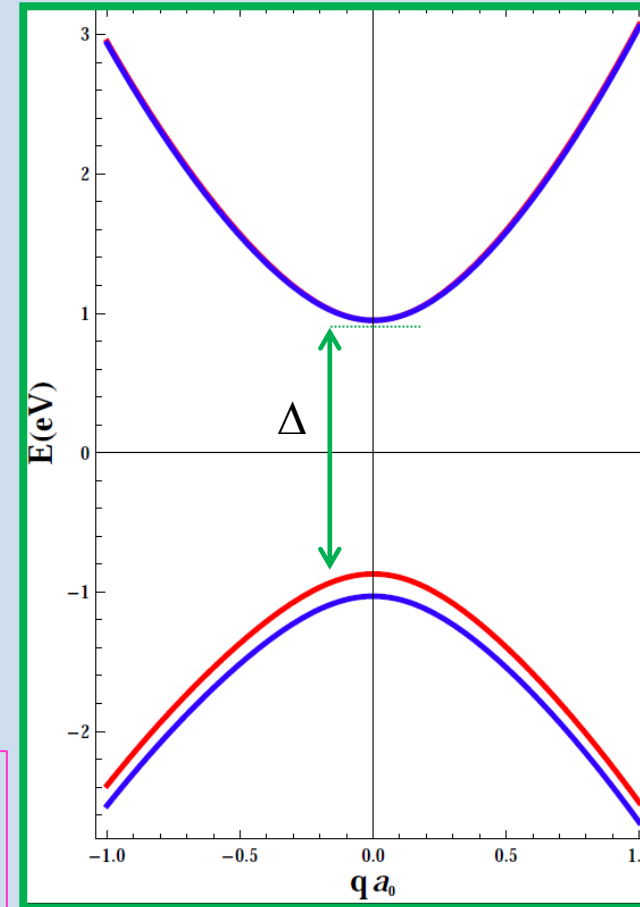
$$\alpha = 0.43$$

$$\beta = 2.21$$

$$\beta = \frac{m_0}{m_-} - \frac{4 m_0 a_0^2 t_0^2}{(\Delta - \lambda) \hbar^2}$$

$$\alpha = \frac{m_0}{m_+}$$

$$m_{\pm} = \frac{m_e m_h}{m_h - m_e}$$



**Zeeman like for Valley**

# Landau Level

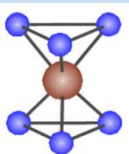
$$H_{\tau s} = \frac{\Delta}{2}\sigma_z + \lambda\tau s \frac{1 - \sigma_z}{2} + t_0 a_0 \vec{\pi} \cdot \vec{\sigma}_\tau + \frac{\hbar^2 |\vec{\pi}|^2}{4m_0} (\alpha + \beta\sigma_z)$$

$$\vec{\pi} = \vec{p} + e\vec{A}$$

Valley degeneracy is broken

$$E_{n,\tau s}^\pm = \pm \sqrt{\left[ \frac{\Delta - \lambda\tau s}{2} + \hbar\omega_c \left( \beta n - \frac{\alpha\tau}{2} \right) \right]^2 + 2 \left( \frac{t_0 a_0}{l_B} \right)^2 n} + \frac{\lambda\tau s}{2} + \hbar\omega_c \left( \alpha n - \frac{\beta\tau}{2} \right)$$

$$\omega_c = eB/2m_0 \quad , \quad l_B = \sqrt{\hbar/(eB)}$$





# Valley polarization

$$E_{0,\tau s}^+ = [\Delta - \hbar\omega_c\tau(\beta + \alpha)]/2 \quad , \quad E_{0,\tau s}^- = \lambda\tau s - [\Delta - \hbar\omega_c\tau(\beta - \alpha)]/2$$

**Zeeman-like for valleys**

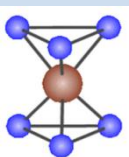
$$\text{Landau level spacing: } \delta E^+ \approx 5.4\hbar\omega_c \quad , \quad \delta E^- \approx 4.6\hbar\omega_c$$

$$\text{Valley splitting in the conduction band: } \delta E_v^+ \approx g_v^+ \hbar\omega_c$$

$$\text{Spin-Valley splitting in the valence band: } \delta E_{s-v}^- \approx (g_s + g_v^-) \hbar\omega_c$$

$$\text{Spin splitting (Zeeman effect): } \delta E_s \approx g_s \hbar\omega_c$$

$$g_s = 2 \quad , \quad g_v^\pm = \beta \pm \alpha$$



# Valley polarization(Numerical)

$$\hat{H}_{TB} = \sum_{i\mu\nu} \{ \epsilon_{\mu\nu}^a a_{i\mu}^\dagger a_{i\nu} + \epsilon_{\mu\nu}^b b_{i\mu}^\dagger b_{i\nu} + \epsilon_{\mu\nu}^{b'} b'_{i\mu}^\dagger b'_{i\nu} \} + \sum_{\langle ij \rangle, \mu\nu} e^{i\phi_{ij}} t_{ij, \mu\nu} a_{i\mu}^\dagger (b_{i\nu} + b'_{i\nu}) + H.c.,$$

Note:

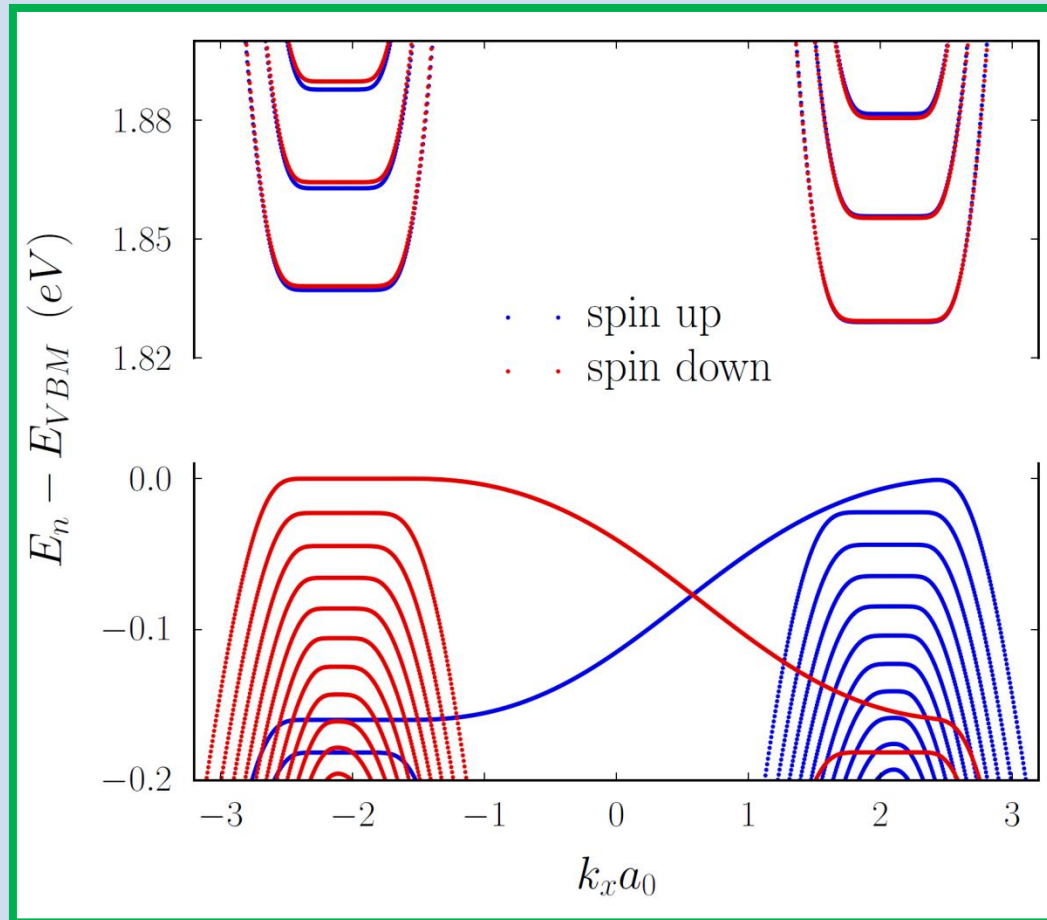
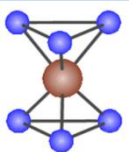
Zeeman effect is not added

Note:

Due to the absence of the symmetries at the edges and the contribution of other d-orbitals, which are not included in our model, the edgestates are not reliable.

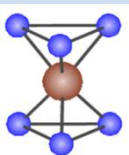
Note:

zigzag ribbon,  
 $W = 149a_0$ ,  
 $l_B = W/10$



# Conclusion

1. *Tight binding Hamiltonian* based on low energy band structure
2. *Two-band Hamiltonian* in  $k$ - space
3. Quasi-particles are **not** Dirac Fermions
4. Valley degeneracy breaking in the presence of  $B$  (*Zeeman like for valley*)
5. The effect of *gate voltage* on the band structure



*Thank you!*

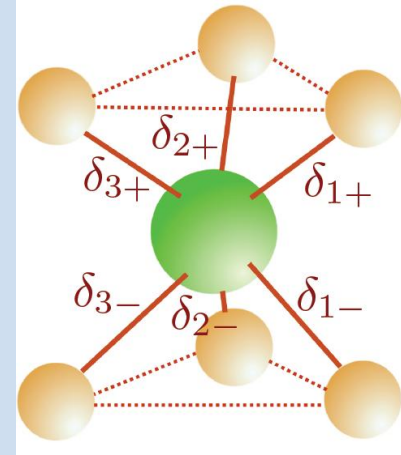
# Slater-Koster method

$$V_{ll'|m} = \langle l', m, R' | H | l, m, R \rangle$$

$$m = 0 \rightarrow \sigma$$

$$m = 1 \rightarrow \pi$$

$$m = 2 \rightarrow \delta$$



$$t_{11} = -\frac{1}{\sqrt{2}}(n_x + in_y)[\sqrt{3}n_z^2 V_{pd\pi} + \frac{1}{2}(1 - 3n_z^2)V_{pd\sigma}],$$

$$t_{21} = \frac{1}{2}(n_x - in_y)[\frac{\sqrt{3}}{2}V_{pd\sigma}(1 - n_z^2) + V_{pd\pi}(1 + n_z^2)],$$

$$t_{22} = \frac{1}{2}(n_x - in_y)^3(\frac{\sqrt{3}}{2}V_{pd\sigma} - V_{pd\pi}),$$

$$\hat{n} = (n_x, n_y, n_z) = \frac{\vec{\delta}_1}{|\vec{\delta}_1|}$$

