

Neutrinos secretly converting to lighter particles to
please both KATRIN and Cosmos

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Outline

- ◆ Motivation for the KATRIN experiment
- ◆ Effect of neutrinos on cosmological scales and the cosmological bound on neutrino mass
- ◆ Our scenario to reconcile cosmological bounds with sizable $[O(0.1 \text{ eV})]$ neutrino mass
- ◆ Embedding scenario in electroweak invariant models
- ◆ Results
- ◆ Summary

Neutrino oscillation

$$|\psi\rangle \rightarrow e^{-i \int H dt} |\psi\rangle$$

$$H = H_{vac} + H_{mat}$$

$$H_{vac} = U \cdot \text{Diag}\left(\frac{m_1^2}{2p}, \frac{m_2^2}{2p}, \frac{m_3^2}{2p}\right) \cdot U^\dagger \longrightarrow \text{PMNS unitary matrix}$$

$$H_{mat} = \text{Diag}\left(\sqrt{2}G_F(N_e - N_n/2), -\sqrt{2}G_F N_n/2, -\sqrt{2}G_F N_n/2\right)$$

No sensitivity to neutrino mass scale

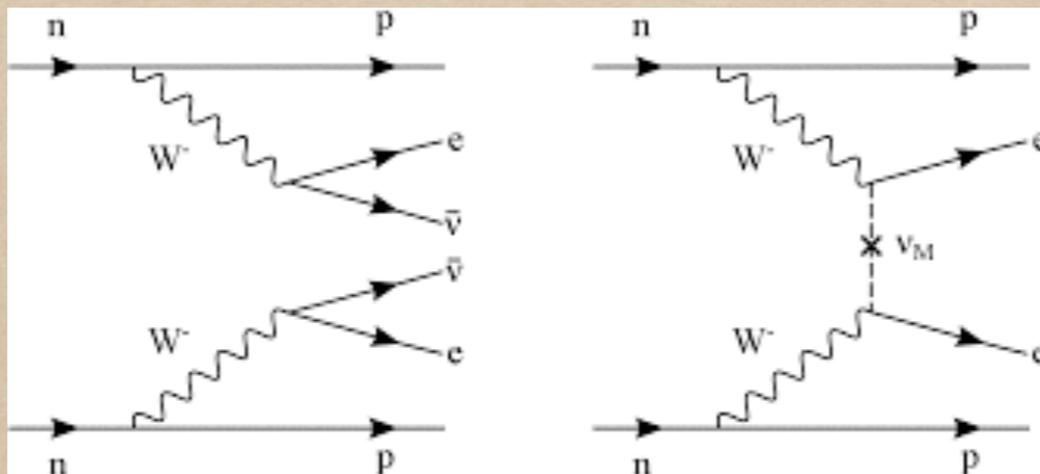
- ◆ Neutrino oscillation pattern depends only on neutrino mass splitting

$$\Delta m_{ij}^2$$

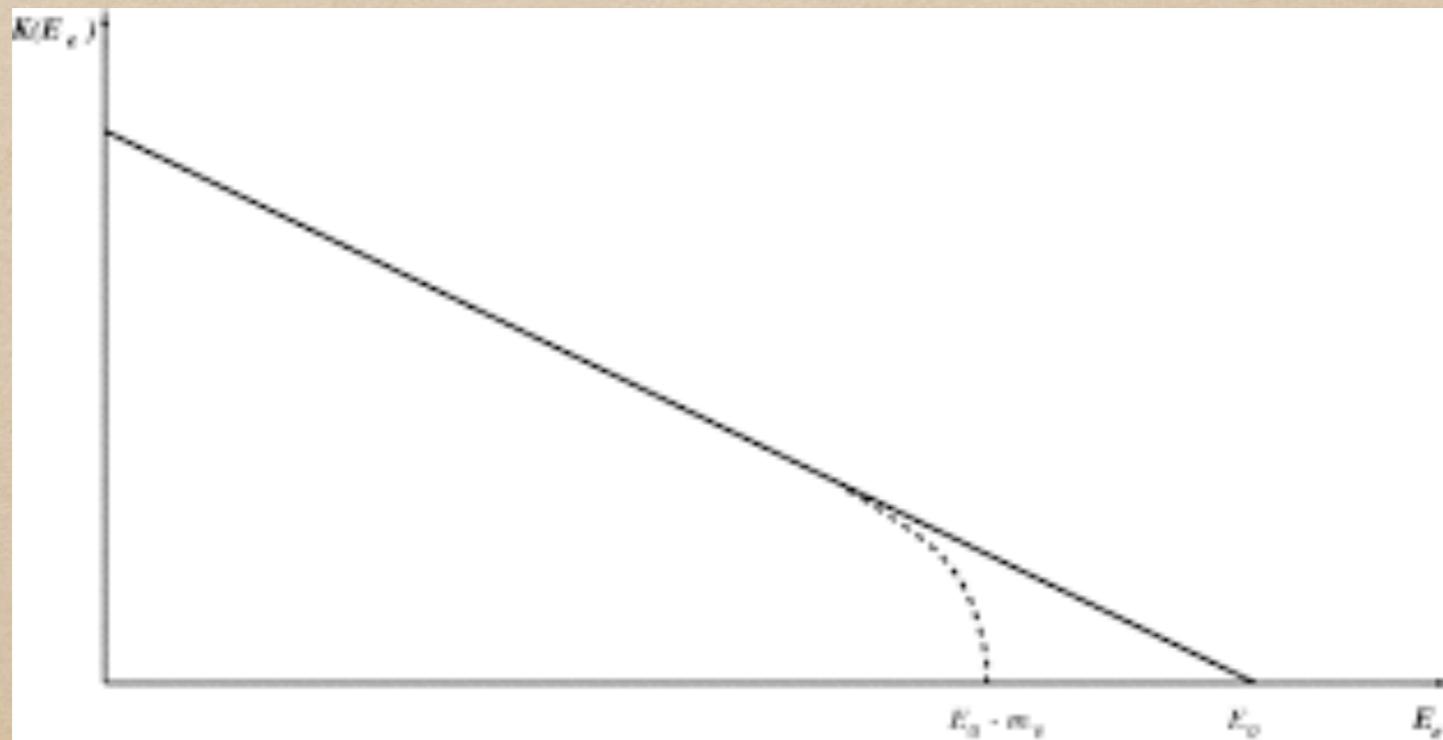
Neutrinoless double beta decay

$$m_{ee} = |m_1 U_{e1}^2 + m_2 U_{e2}^2 + m_3 U_{e3}^2|$$

$$= |m_1 |U_{e1}|^2 e^{i\phi_1} + m_2 |U_{e2}|^2 e^{i\phi_2} + m_3 |U_{e3}|^2 e^{i\phi_3 + 2\delta}|$$



Beta decay experiments



Which mass?

$$m_{\nu_e} = \sqrt{\sum_i m_i^2 |U_{ei}|^2}$$

$$m_{\nu_e} = \sum_i m_i |U_{ei}|^2$$

- ◆ Equal up to $\left(\frac{\Delta m^2}{m^2}\right)^2$

Y.F. and Smirnov, PLB557 (2003)

Previous experiments

- ◆ Mainz

J Bonn et al, Nuc Phys Supp 91 (2001) 273

$$m_\nu < 2.2 \text{ eV } 95 \% \text{ C.L.}$$

- ◆ Troitsk

Troitsk collaboration, PRD (2011)



KArlsruhe TRItium decay Neutrino
experiment
(KATRIN)

Reach of KATRIN

- ◆ Bound in case of null result

0.2 eV 90 % C.L.

- ◆ Detection limit 0.35 eV at 5σ

KATRIN spectrometer



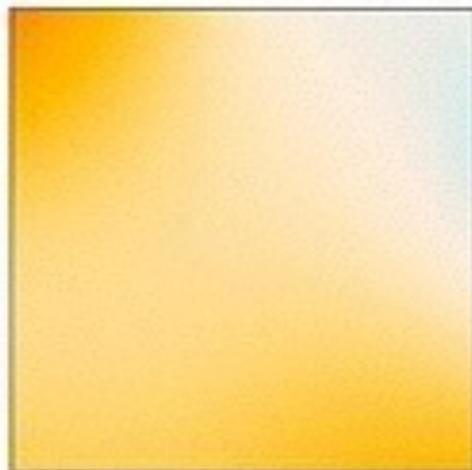
OLD happy days before WMAP

- ◆ m_{ee} from neutrino less double beta decay
- ◆ m_1 from KATRIN

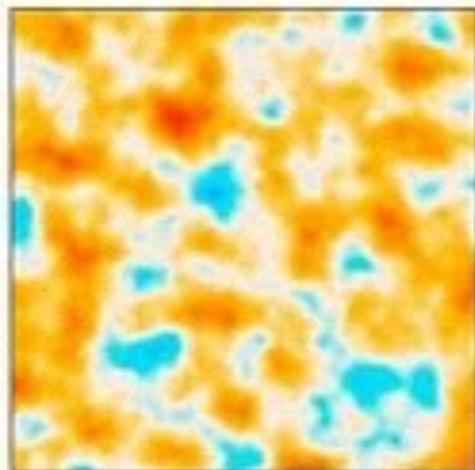


- ◆ Information on Majorana phases

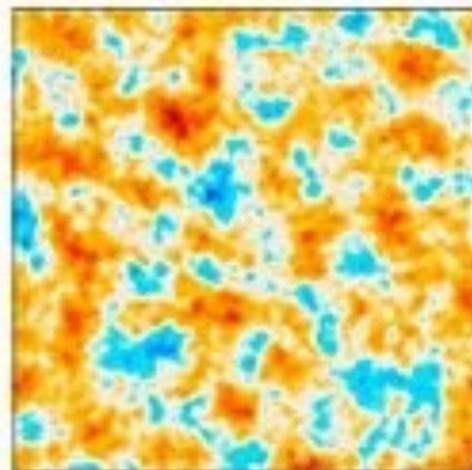
PLANCK



COBE



WMAP



Planck

J. Lesgourgues and S. Pastor, "Neutrino mass
from cosmology," Adv High Energy Phys 2012
(2012) 608515;
arXiv:1212.6154

Neutrino effect on structure formation

free streaming wavelength and wavenumber

$$k_{FS}(t) = 0.8 \frac{\sqrt{\Omega_\Lambda + \Omega_m(1+z)^3}}{(1+z)^2} \left(\frac{m}{1 \text{ eV}} \right) h \text{ Mpc}^{-1},$$
$$\lambda_{FS}(t) = 8 \frac{1+z}{\sqrt{\Omega_\Lambda + \Omega_m(1+z)^3}} \left(\frac{1 \text{ eV}}{m} \right) h^{-1} \text{ Mpc},$$

Neutrinos starting to become non-relativistic

$$m = \langle p \rangle \sim T_\nu \quad 1+z = 2 \times 10^3 \frac{m_\nu}{1 \text{ eV}}$$

$$k_{nr} \simeq 0.018 \Omega_m^{1/2} \left(\frac{m}{1 \text{ eV}} \right)^{1/2} h \text{ Mpc}^{-1} .$$

effects of neutrino mass on matter power spectrum

$$P(k, z) = \left\langle \left| \frac{\delta\rho_{\text{cdm}} + \delta\rho_{\text{b}} + \delta\rho_{\nu}}{\rho_{\text{cdm}} + \rho_{\text{b}} + \rho_{\nu}} \right|^2 \right\rangle = \Omega_{\text{m}}^{-2} \left\langle |\Omega_{\text{cdm}} \delta_{\text{cdm}} + \Omega_{\text{b}} \delta_{\text{b}} + \Omega_{\nu} \delta_{\nu}|^2 \right\rangle$$

$$\delta_{\nu} \ll \delta_{\text{cdm}}.$$

$$f_{\nu} \equiv \frac{\Omega_{\nu}}{\Omega_{\text{m}}}.$$

$$(1 - f_{\nu})^2$$

- ◆ Back reaction

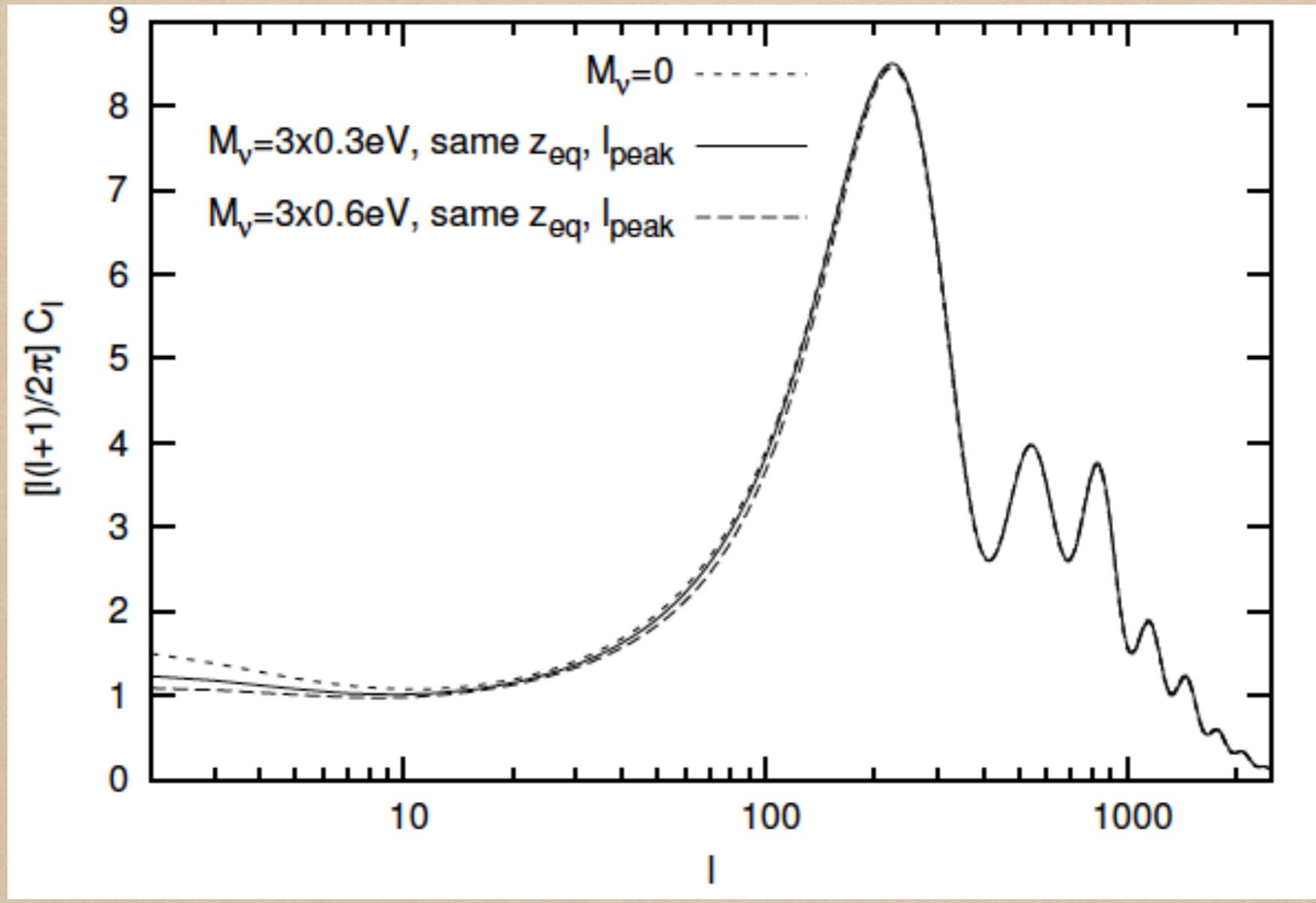
$$\delta_{\text{cdm}}'' + \frac{a'}{a} \delta_{\text{cdm}} = -k^2 \psi,$$

gravitational potential

Effects of neutrino mass on CMB

- ◆ At the **time of recombination** neutrinos were **relativistic**.
- ◆ Thus, their effect on CMB will be secondary and indirect through three main effects.
- ◆ Let us fix Ω_m . The z_{eq} will then depend on neutrino mass and this affects position and amplitude of CMB power spectrum peaks.

- ◆ Fixing Ω_m , the angular diameter distance to the last scattering surface $d_A(z_{dec})$ varies with neutrino mass which in turn will affect the CMB spectrum feature in multipole space.
- ◆ Neutrino mass affects time evolution of matter fluctuation at late time and therefore affects Integrated Sachs-Wolfe effect, changing the slope of low- l part of CMB power spectrum.



◆ Planck alone $\sum_i m_{\nu_i} < 0.71 \text{ eV}$

◆ Planck + BAO $\sum_i m_{\nu_i} < 0.23 \text{ eV}$

Ade et al (Planck collaboration), arXiv:1502.01589

Is there any hope for KATRIN?

- ◆ Altering cosmology

- ◆ Non-standard physics for neutrinos

Y.F. and Steen Hannestad, "Neutrinos secretly
converting to lighter particles to please both
KATRIN and the cosmos," JCAP 1602 (2016)

no.02, 058

Conditions

- ◆ Conversion has to be after neutrino decoupling: Below $T \sim 1 \text{ MeV}$.
- ◆ During recombination neutrinos and the new particles have to freely stream.

Hannestad and Raffelt, PRD 72 (2005)

Conversion should take
place when

$$1 \text{ eV} \ll T \ll 1 \text{ MeV}$$

Mechanisms for conversion

- ◆ Neutrino-Neutrino coannihilation
- ◆ Neutrino scattering off dark matter background

Neutrino mass coannihilation

- ◆ Mass of intermediate state is much **higher** than the temperature

$$\Gamma_{ann} \sim \frac{T^5}{m_X^4}$$

$$H \sim \frac{T^2}{M_P^*}$$

- ◆ Mass of the intermediate state is much **smaller** than the temperature

$$\Gamma_{ann} \sim T$$

$$H \sim \frac{T^2}{M_{Pl}^*}$$

Resonant conversion

$$\text{eV} < m_X < 1 \text{ MeV}$$

$$\nu + \overset{(-)}{\bar{\nu}} \rightarrow X^* \rightarrow f_i + \overset{(-)}{\bar{f}_i}$$

Conversion rate

$$(p_2, 0, 0, p_2)$$

$$R = \frac{1}{2p_2} \int \int \int \frac{d^3 p_1}{(2\pi)^3 2p_1} \frac{1}{1 + \exp^{p_1/T}} \frac{d^3 k_1}{(2\pi)^3 2k_1} \frac{d^3 k_2}{(2\pi)^3 2k_2} (2\pi)^4 \delta^4(p_1 + p_2 - k_1 - k_2) |M|^2$$

$$|M|^2 = \frac{\mathcal{A}f(\theta_a)}{(q^2 - m_X^2)^2 + m_X^2 \Gamma_X^2}$$

depends on properties of intermediate state

Scalar vs Vector

- ◆ Scalar intermediate state

$$\mathcal{A} = g_a^2 g_s^2 (2k_1 \cdot k_2)(2p_1 \cdot p_2)|_{resonance} = g_a^2 g_s^2 m_J^4 \quad \text{and} \quad f(\theta_a) = 1.$$

- ◆ Vector intermediate state $(X = Z')$

$$\mathcal{A} = g'^4 (e'_a e'_s)^2 m_{Z'}^4 \quad \text{and} \quad f(\theta_a) = 1 + \cos^2 \theta_a.$$

Narrow width approximation

$$|M|^2 \simeq B f(\theta_a) \delta(q^2 - m_X^2) \quad \text{where} \quad B \equiv \frac{\mathcal{A}\pi}{m_X \Gamma_X}.$$

$$g_s \gg g_a$$

$$\Gamma_X \simeq \frac{N g_s^2 m_J}{16\pi} \quad \text{so} \quad B = \frac{16\pi^2 g_a^2 m_J^2}{N}.$$

$$e'_s \gg e'_a$$

$$\Gamma_X \simeq \frac{N (g' e'_s)^2 m_{Z'}}{8\pi} \quad \text{so} \quad B = \frac{8\pi^2 (g' e'_a m_{Z'})^2}{N}$$

Condition for efficient conversion

$$R|_{T \sim m_X} \sim H|_{T \sim m_X}$$

$$g_a \text{ or } g'e'_a \gtrsim 5 \times 10^{-11}$$

No dependence on the coupling of the new light particles to X
as long as $\Gamma_{Z'} \ll m_{Z'}$

$$g_a, g'e'_a < g_s, g'e'_s \lesssim \frac{0.1}{\sqrt{2}}$$

$$R = \frac{B \cdot b}{2^8 \pi^3 p_2} \int \frac{p_1^2 dp_1 d \cos \theta}{p_1} \frac{1}{1 + \exp^{p_1/T}} \delta(p_1 p_2 (1 - \cos \theta) - m_X^2) =$$

$$\frac{B \cdot b \cdot T}{2^8 \pi^3 p_2^2} \left(\log(1 + \exp^{m_X^2/2p_2 T}) - m_X^2/2p_2 T \right).$$

$$b = 1(4/3)$$

For $p_2 T \ll m_X^2$, $R \rightarrow B \cdot b \cdot T / (2^8 \pi^3 p_2^2) \exp^{-m_X^2/2p_2 T}$

For $p_2 T \gg m_X^2$, $R \rightarrow \log(2) B \cdot b \cdot T / (2^8 \pi^3 p_2^2)$.

$$H = T^2 / M_{Pl}^*$$

- ◆ The maximum of R/H is reached at

$$T \sim p_2 \sim m_X$$

- ◆ Maximum $\frac{R}{H} \propto \frac{M_{Pl}^*}{m_X}$

Back reaction

$$\int R dt \gg 1$$

$$\int R dt \sim 1$$

Reaction and back-reaction rate equal
similar energy distribution

$$\frac{\rho_{\text{massive,final}}}{\rho_{\text{massive,initial}}} = \frac{3}{3 + N}$$

number of degrees of freedom that come to equilibrium with neutrinos below 1MeV

Differently possibility

- ◆ X directly decays to **more than one species**.
- ◆ Secondary particles **decay** to new particles.
- ◆ Secondary particles **oscillate** to new particles.

Effective number of neutrinos

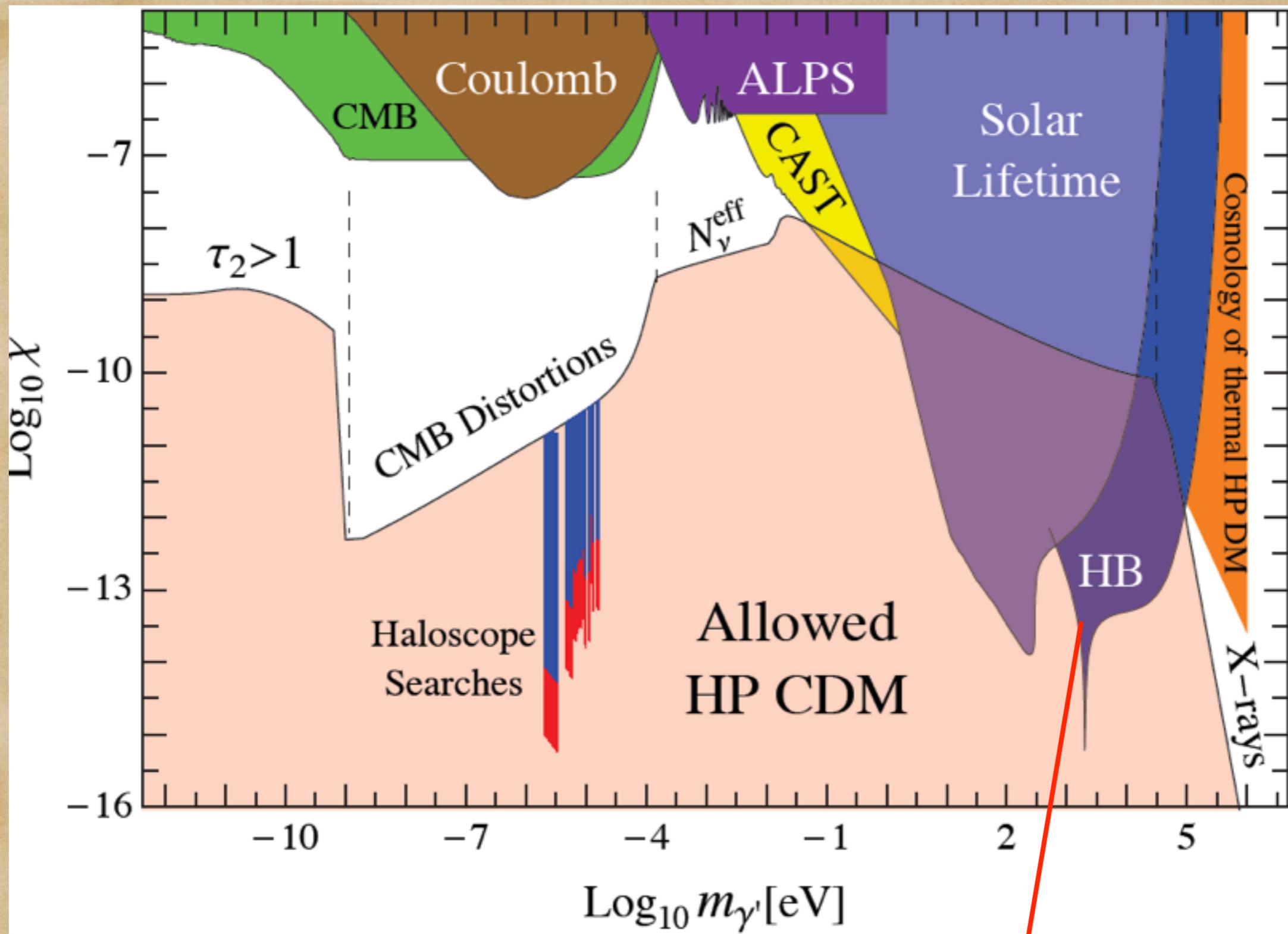
- ◆ Effective number of relativistic degrees of freedom at **BBN**
- ◆ Effective number of relativistic degrees of freedom at **recombination**
- ◆ Effective number of massive neutrinos after conversion

$$N_{\text{massive}} = \frac{3}{1 + N/3}$$

Gauge boson as X

$$g'(e'_a \bar{\nu}_L \gamma^\mu \nu_L + e'_s \bar{\nu}_s \gamma^\mu \nu_s) Z'_\mu \quad g'e'_a > 5 \times 10^{-11} \left(\frac{m_{Z'}}{\text{keV}}\right)^{1/2}$$

- ◆ Star cooling sets a bound on coupling to electron of order of 10^{-13}



Arias et al., JCAP 1206 (2012)

Horizontal branch

Gauge boson as X

$$g'(e'_a \bar{\nu}_L \gamma^\mu \nu_L + e'_s \bar{\nu}_s \gamma^\mu \nu_s) Z'_\mu$$

$$g'e'_a > 5 \times 10^{-11} \left(\frac{m_{Z'}}{\text{keV}}\right)^{1/2}$$

◆ Gauging $L_\mu - L_\tau$

$$\Delta m_{21}^2 / T \gg H$$

Stueckelberg or $\langle \phi \rangle \sim m_{Z'} / (g'e'_\phi) \sim 10 \text{ TeV} (e'_a / e'_\phi)$

Majoron model

$$\left(\frac{g_a}{2}\nu_a^T c\nu_a + \frac{g_s}{2}\nu_s^T c\nu_s\right)J$$

$$g_a > 5 \times 10^{-11} (m_J/\text{keV})^{1/2}$$

$$\frac{g_\Delta}{2}L^T c\epsilon\Delta L + \frac{g_s}{2}J\nu_s^T c\nu_s$$

$$\frac{m_J^2}{2}J^2 + m_\Delta^2 \text{Tr}[\Delta^\dagger \Delta] + \frac{\lambda_{\Delta J}}{2}H^\dagger \Delta \epsilon H^* J.$$

Mixing between triplet and singlet

$$\alpha \simeq \lambda_{\Delta J} \frac{v^2}{m_{\Delta}^2 - m_J^2}$$

$$g_a \simeq g_{\Delta} \alpha.$$

- ◆ Is hierarchy between masses of Majoron and the triplet stable?

$$g_a \sim 5 \times 10^{-11}, \quad g_{\Delta} > 0.1 \quad \text{and} \quad m_{\Delta} \sim 1 \text{ TeV},$$


$$\alpha^2 m_{\Delta}^2 \ll \text{keV}^2$$

Other bounds

- ◆ Supernova cooling 10^{-7}

YF, Phys.Rev. D67 (2003) 073015

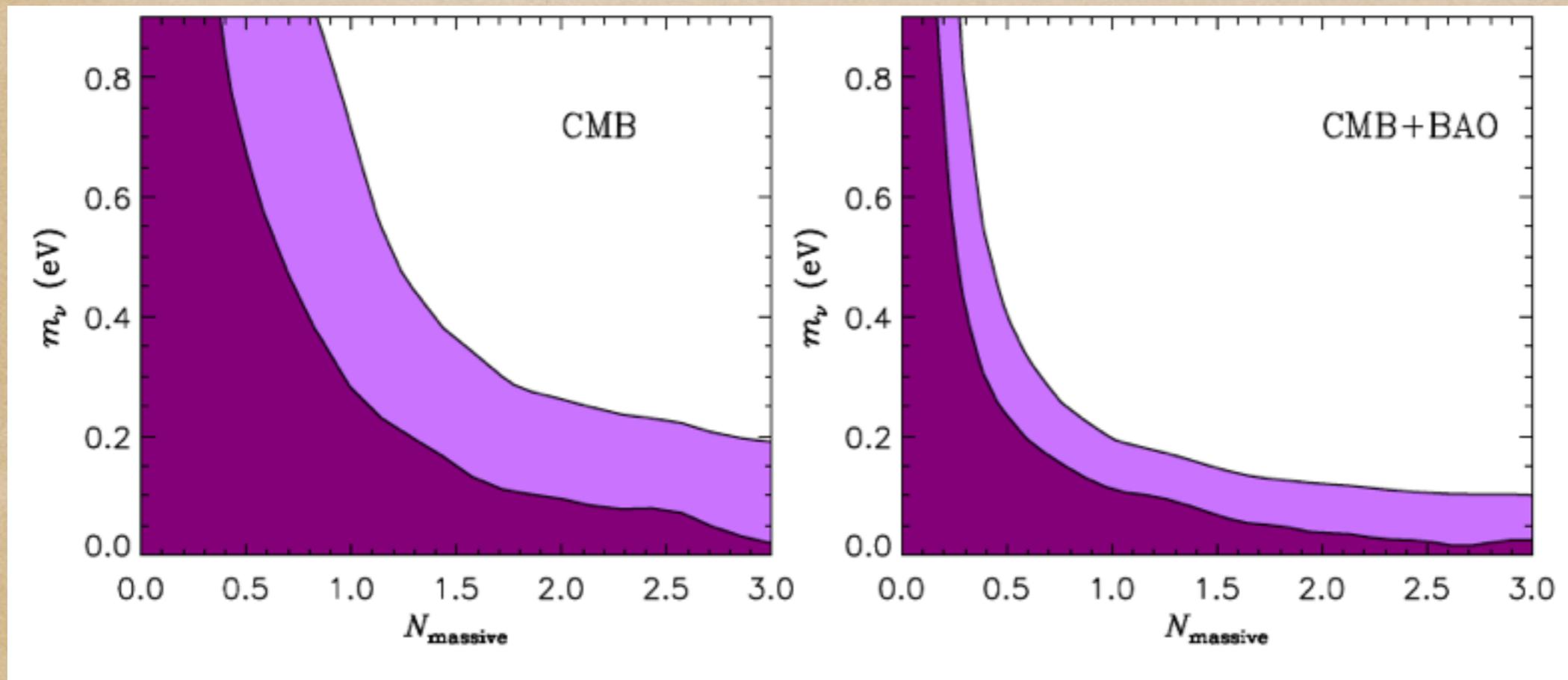
- ◆ Free streaming at recombination

$$n_\nu \sigma_{\text{scattering}} \Delta t|_{T \sim 0.3 \text{ eV}} \sim 10^9 g_s^4 \left(\frac{\text{keV}}{m_J} \right)^4 . \quad g_s \lesssim 0.005 (m_J / \text{keV})$$

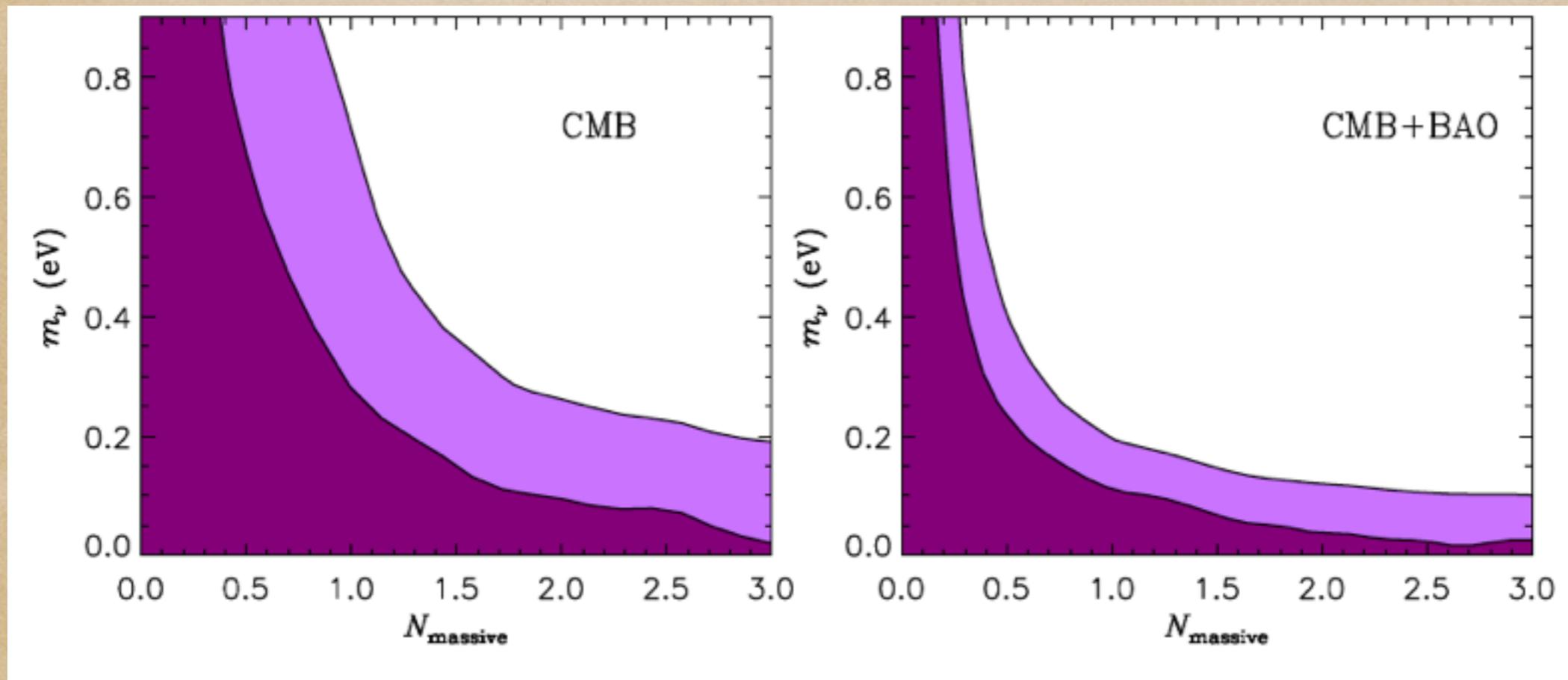
Parameters relevant for cosmology

- ◆ Neutrino mass
- ◆ Effective number of massive neutrinos

$$N_{\text{massive}} = \frac{3}{1 + N/3}$$



68% and 95%



If for each active neutrino there are 1 (4) lighter ones, $m_\nu = 0.35$ eV

Summary

- ◆ Scenarios that resonantly convert neutrinos to lighter particles during $T \sim m_X \sim \text{keV}$
- ◆ Intermediate state can be **scalar** or **vector** boson
- ◆ Gauging $L_\mu - L_\tau$ or mixing singlet-triplet scalar
- ◆ Masses resolvable by **KATRIN** can be made compatible within this scenario with cosmological bounds.

Backup

We have performed a likelihood analysis of current data using CosmoMC [21]. Our benchmark CMB data set consists of the Planck 2015 high multipole temperature data and low multipole polarization data (PlanckTT+lowP), implemented according to the prescription of Ref. [22]. We have also performed the analysis with Baryonic Acoustic Oscillation (BAO) data, including 6dFGS [23], SDSS-MGS [24], BOSS-LOWZ BAO [25] and CMASS-DR11 [26]. The neutrino sector is described by the parameters N_{massive} and the physical neutrino mass m_ν .

The other cosmological parameters used in the analysis correspond to those in the standard Planck 2015 analysis of neutrino mass: The baryon density, $\Omega_b h^2$, the cold dark matter density, $\Omega_c h^2$, the angular scale of the first CMB peak, θ , the optical depth to reionization, τ , the amplitude of scalar fluctuations, A_s , and the scalar spectral index, n_s .

- ◆ Normal hierarchical scheme

$$\sum_i m_{\nu_i} \Big|_{Min} \simeq \sqrt{\Delta m_{atm}^2} \simeq 0.06 \text{ eV}$$

- ◆ Suppression of small scale fluctuations of order of few percent to be resolved by EUCLID